

Post-Investment Monitoring Services

Key Areas of Inquiry

The key points of inquiry during post-investment monitoring are client and/or investment specific, but most frequently cover:

- Changes to the corporate structure
- Reputational issues with associated entities (e.g. vendors, suppliers, competitors and trade bodies)
- Business interests of the key principals
- Any litigation involving the company or associated individuals
- Pertinent labour issues
- Bribery or corruption related concerns
- Regulatory issues that could impact upon the investment
- Environmental or social compliance issues

The FTI Consulting Global Risk and Investigations practice undertakes sophisticated investigations, uncovers actionable intelligence and performs value-added analysis to help decision-makers address and mitigate risk, protect assets, make informed decisions and maximise opportunities in the Asia Pacific region. We bring an interdisciplinary and comprehensive approach to business critical investigations. Our multicultural teams combine the skill and experience of former senior law enforcement officials and regulators with forensic accountants, anti-corruption investigators, economic researchers, academic analysts, and computer forensic, electronic evidence and enterprise data specialists based around the world.

From more stringent corporate governance frameworks to increased focus on the enforcement of internal controls, investors are increasingly realising the imperative need to take more robust, proactive and often discreet actions in respect of their investments. This is particularly true for fund managers that have raised funds from institutional investors (such as pension funds and government-linked investors) who are now demanding far higher levels of integrity, transparency and security in their investments.

A private equity investor typically has some form of audit rights, theoretical access to 'the books' and a seat on the board of directors of the Investee Company. However, investors often have limited real access to relevant information; they are informed clearly only on positive news, while negative information is often withheld or 'massaged'. Our business intelligence services assist investors monitor potential investment risks, especially in less transparent emerging markets.

Our Approach

It is critical for investors to be fully aware of all key developments connected to an Investee Company and its subsidiaries, and of any other business interests connected to the key principals that may present a potential conflict of interest. Equally, investors should be alert to other potential off-balance sheet risks which could pose a risk to their investments, such as issues with a key supplier of the Investee Company.

Post-investment monitoring is an extension of our investigative due diligence services. There are two key facets to the service that offer investors flexibility and reassurance:

- On-going discreet business intelligence monitoring and regular reporting on the investment, including the key people and entities involved.
- Focused, discreet and customised investigations to clarify specific issues of concern/potential concern regarding the investment as issues are uncovered, either during our regular post-investment monitoring process or arising from the investor's own monitoring of the investment.

The service is quick to commission, and can be completed efficiently, effectively and economically. Most importantly, it will enhance the investors' ability to ensure higher levels of integrity and greater investment security.



For more information on our activities across Asia Pacific and how FTI Consulting can help your business, please contact us on info-ap@fticonsulting.com

CRITICAL THINKING
AT THE CRITICAL TIME™

About FTI Consulting

FTI Consulting, Inc. is a global business advisory firm dedicated to helping organisations protect and enhance enterprise value in an increasingly complex legal, regulatory and economic environment. FTI Consulting professionals, who are located in all major business centres throughout the world, work closely with clients to anticipate, illuminate and overcome complex business challenges in areas such as investigations, litigation, mergers and acquisitions, regulatory issues, reputation management and restructuring.

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